



## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION  
(Use several sheets if necessary)

Docket Number:  
13671.0001-US-C2

Application Number:  
10/695,922

Applicant: UGGERUD ET AL.

Filing Date: October 23,  
2003

Group Art Unit: 3629

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
US	US-6,061,609	05-2000	Kanoi et al.			
	US-5,974,369	10-1999	Radtke et al.			
	US-6,327,541	12-2001	Pitchford et al.			
	US-6,067,482	05-2000	Shapiro, Doron			
	US-6,029,092	02-2000	Stein, Michael			
	US-5,696,695	12-1997	Ehlers et al.			
	US-5,831,550	11-1998	Sigiliao Da Costa et al.			
	US-5,770,895	06-1998	Kumasaka, Iwao			
	US-5,481,140	01-1996	Maruyama et al.			
	US-5,432,507	07-1995	Mussino et al.			
	US-4,933,633	06-1990	Allgood, Marvin D.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
US	0 614 088 A1	09/07/1994	EP				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

US		Brochure, "Sinaut Aces: Accounting, Contracts and Energy Scheduling", 4 pages, Siemens Energy & Automation, Inc. (1994)
		Brochure, "ExoTran <sup>sm</sup> Solution", 3 pages, Exolink Corporation (1997)
		Brochure, "LODESTAR Profile and Settlement System <sup>TM</sup> : The New Standard for Open Access Settlement", 4 pages, Utility Marketing Services, Peabody, Massachusetts (date unknown)
		Cohn, N., "Decomposition of Time Deviation and Inadvertent Interchange on Interconnected Systems, Part I: Identification, Separation and Measurement of Components", <i>IEEE Transactions on Power Apparatus and Systems</i> , PAS-101(5):1144 (May 1982)

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EXAMINER

Cel &amp; M. 31

DATE CONSIDERED

11/4/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Date Mailed: March 16, 2004

Sheet 1 of 1

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 13671.0001-US-C2	Application Number: 10/695,922
	Applicant: UGGERUD ET AL.	
	Filing Date: October 28, 2003	Group Art Unit: 3629

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CS	US-4,701,698	10-1987	Karlsson et al.			
	US-4,465,970	08-1984	DiMassimo et al.			
	US-4,442,492	04-1984	Karlsson et al.			
	US-4,023,043	05-1977	Stevenson, Robert H.			
	4,847,781	07/11/1989	Brown, III et al.			
	5,479,358	12/26/1995	Shimoda et al.			
	5,566,084	10/15/1996	Cmar			
	6,047,274	02-1998	Johnson et al.			
	6,157,874	10-1997	Cooley et al.			
	3,998,267	5-1975	Faiczak			
	6,122,603	06-1998	Budike, Jr.			

  

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

  

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
CS		Cohn, N., "Decomposition of Time Deviation and Inadvertent Interchange on Interconnected Systems, Part II: Utilization of Components for Performance Evaluation and Corrective Control", <i>IEEE Transactions on Power Apparatus and Systems</i> , PAS-101(5):1152 (May 1982)
		Internet, "EnergyWeb™: Energy Use Monitoring and Management via the World Wide Web", 2 pages, Electrotek Concepts, Inc. (last updated May 2, 1997)
		Internet, "OG&E: Utility Deregulation Plans Spur Technology Transformation", 2 pages, IBM Corporation (1997)
		Internet, "Siemens: Deregulation Products/Siemens PSC, USA", 3 pages, Siemens Power System Control (last updated February 16, 1998)

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EXAMINER	<i>Carl S. M. E.</i>	DATE CONSIDERED	<i>11/4/04</i>
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\*Substitute Disclosure Statement Form (PTO-1449)

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
US		Internet, "NSR: National Systems & Research Co.", 3 pages, National Systems & Research Co., Colorado Springs, Colorado (printed August 14, 1998)
		Internet, "Energy Scheduling and Accounting: Energy Management Application", Bailey Network Management, 3 pages, (printed August 18, 1998)
		Internet, "Energy Scheduling and Accounting", 2 pages, Bailey Norge AS (August 20, 1998)
		Manual, Operating Manual of NERC-National Electric Reliability Council, "Policy I-Generation and Control and Performance," pgs. 1-11; "Policy 3-Interchange," pgs. P3-1-P3-9; and "Inadvertent Interchange Accounting Training document," pgs. INAD-1-INAD-6 (December 3, 1996, January 5, 1998, and May 24, 1994, respectively)
		Press Release, "Logica to Provide Services to New England Electric System (NEES) Companies to Enable Retail Electric Competition", 2 pages, Logica Inc., (date unknown)
		Prowse, D. et al., "Experience with Joint AGC Regulation", <i>IEEE</i> , 1 page (1994)
		Rutz, W., "Power Supply Coordinator: The Power of Retail Choice", <i>Energy Market IT Bulletin</i> , No. 5, 4 pages (March 5, 1998)

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EXAMINER	<i>Carl M. 31</i>	DATE CONSIDERED	<i>11/14/04</i>
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